

# Semialgebraic Splines

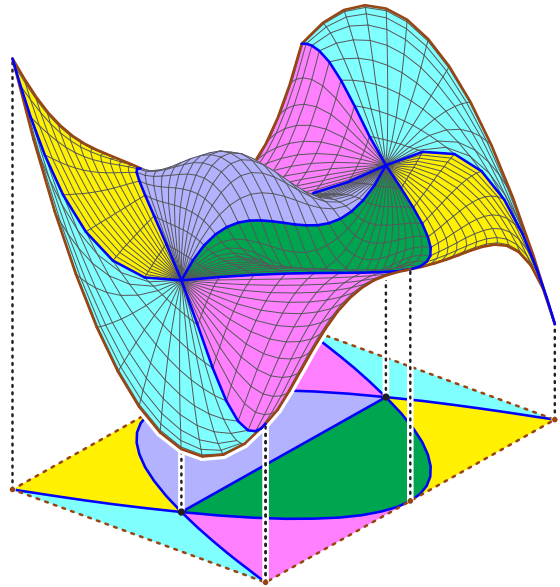
SIAM Minisymposium on  
Multivariate Splines and Algebraic Geometry  
11 July 2019



Frank Sottile

sottile@math.tamu.edu

Work with Michael DiPasquale



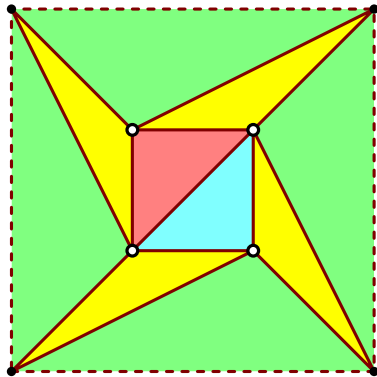
# Motivating Goals

Compute dimensions of spline spaces on a complex of semialgebraic cells, to illustrate some phenomena not observed in traditional splines on simplicial or polyhedral complexes, and also to compare to traditional splines.

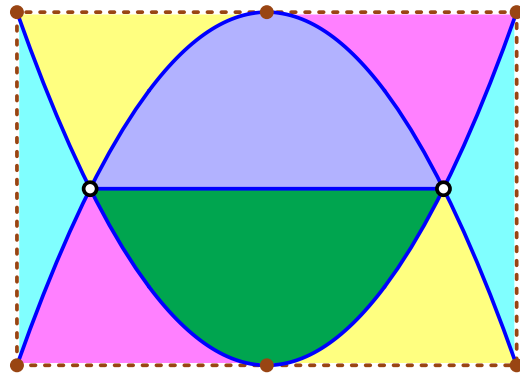
**Definition:** A (*basic*) *semialgebraic set* is one of the form

$$\{x \in \mathbb{R}^2 \mid h_i(x) \geq 0, \text{ for } i = 1, \dots, m\},$$

where  $h_1, \dots, h_m$  are polynomials.



Simplicial Complex



Semialgebraic Cell Complex

# Semialgebraic Splines

A *semialgebraic spline* is a function that is piecewise a polynomial with respect to a complex  $\Delta$  whose cells are semialgebraic sets.

$C_d^r(\Delta)$  : vector space of splines on  $\Delta$  of degree  $\leq d$  and smoothness  $r$ .

Let  $\Delta$  be a planar complex with edges defined by real polynomials.

We previously showed the homological approach of Billera-Rose-Schenck-Stillman computes the spline module  $C_d^r(\Delta)$  and thus  $\dim C_d^r(\Delta)$ .

When  $\Delta$  has a single interior vertex,  $v$ , we determined  $\dim C_d^r(\Delta)$  in two extreme cases:

- The curves incident to  $v$  form a *pencil* (as lines incident to  $v$  do)
- The curves incident to  $v$  have distinct tangents at  $v$  and are sufficiently *generic* (a classical case for rectilinear splines).

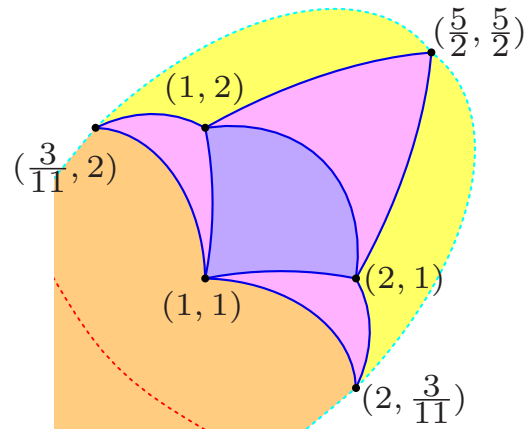
We continue these two cases for more involved complexes  $\Delta$ .

# Nets

Suppose that the edge forms span a two-dimensional space of forms (a *net*). Then the forms at a vertex form a pencil, and if the vertices are in general position, there is a unique edge between two vertices.

At right is the net spanned by  $\{x^2 - yz, y^2 - xz, z^2 + xy\}$  with the indicated vertices.

A net defines a map  $\varphi: \mathbb{P}^2 \rightarrow \mathbb{P}^2$ , and  $\varphi(\Delta)$  is a rectilinear complex on  $\mathbb{R}^2$ .

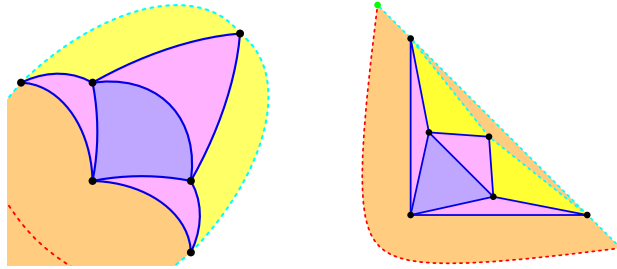


The spline module for  $\Delta$  is a flat base-change along  $\varphi^*$  of the spline module for  $\varphi(\Delta)$ .

This gives simple formulas for  $\dim C_d^r(\Delta)$  in terms of  $\dim C_j^r(\varphi(\Delta))$ .

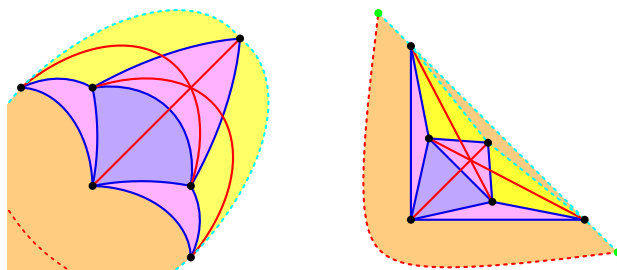
# Morgan-Scott for Nets

In the example from the previous page, here are  $\Delta$  and  $\varphi(\Delta)$ :



# Morgan-Scott for Nets

In the example from the previous page, here are  $\Delta$  and  $\varphi(\Delta)$ :



These exhibit the Morgan-Scott phenomena. Let  $\Delta'$  be a generic complex from this net with the same topology as  $\Delta$ . Then we have

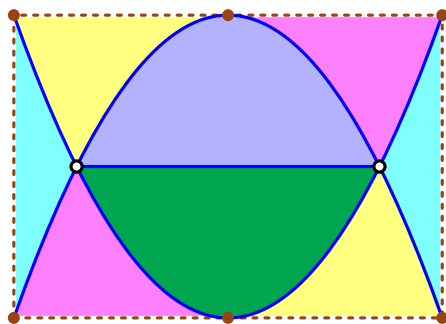
$d$	0	1	2	3	4	5	6	7	8	9
$\dim C_d^1(\Delta')$	1	3	6	10	15	21	34	54	81	115
$\dim C_d^1(\Delta)$	1	3	6	10	16	24	37	55	81	115

The difference 1,3,3,1 is  $\dim \mathbb{R}[x, y, z] / \langle x^2 - yz, y^2 - xz, z^2 + xy \rangle$

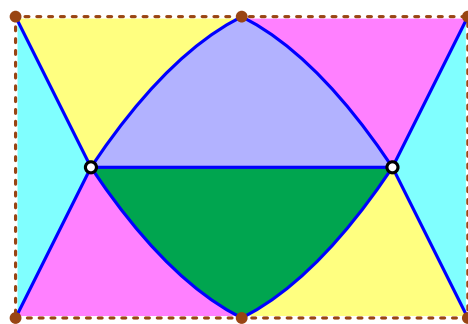
# Generic Complexes $\Delta$

When  $\Delta$  has a single interior vertex  $v$  and the edge forms at  $v$  have distinct tangents, we gave a combinatorial formula for the dimension of  $C_d^r(\Delta)$  for  $d$  sufficiently large.

For more general  $\Delta$ , a more subtle acyclicity condition from local cohomology, which appeared in work of Schenck and Stillman, also gives a combinatorial formula for  $\dim C_d^r(\Delta)$  for  $d$  sufficiently large.



Not Generic



Generic

# What is the point of Generic?

Formulas for  $\dim C_d^r(\Delta)$  for  $d$  large have two pieces:

- : A regular, combinatorial part, and
- : A possible difficult homology module.

For a generic complex, the regular part is even more regular, (this is a consequence of our earlier paper), and [the difficult homology module has finite length](#), so in the long run it does not contribute, and we get a formula for  $\dim C_d^r(\Delta)$  for  $d$  large:

$$(\phi_2 - \phi_1) \binom{d+2}{2} + \sum_{\tau \in \Delta_1^\circ} \binom{d - (r+1)n_\tau + 2}{2} + \sum_{v \in \Delta_0^\circ} \left( \binom{r+a_v+2}{2} - t_v \binom{a_v+1}{2} \right)$$

Here,  $\phi_2, \phi_1, n_\tau, a_v, t_v$  are combinatorial data from the complex  $\Delta$ .

The point of this work is not the formulae, but rather that methods for splines on rectilinear complexes mostly also work for semialgebraic complexes.



# References

- M. Di Pasquale and F. Sottile, *Bivariate Semialgebraic Splines*, ArXiv.org/1905.08438.
- M. Di Pasquale, F. Sottile, and L. Sun, *Semialgebraic splines*, Comput. Aided Geom. Design **55** (2017), 29–47.
- L. Billera, *Homology of smooth splines: generic triangulations and a conjecture of Strang*, Trans. AMS **310** (1988), 325–340.
- H. Schenck and M. Stillman, *A family of ideals of minimal regularity and the Hilbert series of  $C^r(\hat{\Delta})$* , Adv. Appl. Math. **19** (1997), 169–182.
- H. Schenck and M. Stillman, *Local cohomology of bivariate splines*, J. Pure Appl. Algebra **117/118** (1997), 535–548.